

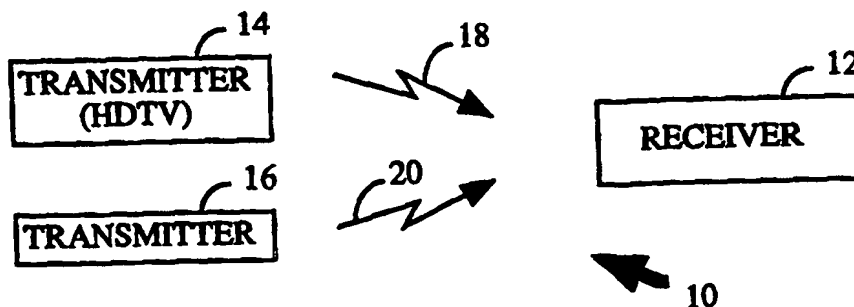
PCTWORLD INTELLECTUAL PROPERTY ORGANIZATION
International Bureau

INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6: H04N 7/24	A2	(11) International Publication Number: WO 98/58496 (43) International Publication Date: 23 December 1998 (23.12.98)
(21) International Application Number: PCT/US98/12481 (22) International Filing Date: 16 June 1998 (16.06.98) (30) Priority Data: 08/879,297 19 June 1997 (19.06.97) US (71) Applicant: QUALCOMM INCORPORATED [US/US]; 6455 Lusk Boulevard, San Diego, CA 92121 (US). (72) Inventors: VIJAYAN, Rajiv; Apartment 6203, 3550 Lebon Drive, San Diego, CA 92122 (US). ODENWALDER, Joseph, P.; 14967 Rancho Real, Del Mar, CA 92014 (US). WOLF, Jack, K.; 8529 Prestwick Drive, La Jolla, CA 92037 (US). ZEHAVI, Ephraim; Moshe Sneh Street 3, 34987 Haifa (IL). LEE, Chong, U.; 5238 Caminito Playa Malaga, San Diego, CA 92124 (US). (74) Agents: OGROD, Gregory, D. et al.; Qualcomm Incorporated, 6455 Lusk Boulevard, San Diego, CA 92121 (US).		(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, GM, GW, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG). Published <i>Without international search report and to be republished upon receipt of that report.</i>

(54) Title: TRANSMISSION OF DIGITAL SIGNALS BY ORTHOGONAL FREQUENCY DIVISION MULTIPLEXING**(57) Abstract**

In an orthogonal frequency division multiplexing (OFDM) system (10) which uses an outer Reed-Solomon encoder and interleaver (24) and an inner convolutional encoder (26), after the inner convolutional encoding the data bits are interleaved by an inner interleaver (28), and then grouped into symbols, each symbol having "m" bits. After grouping, the symbols are mapped to a complex plane using quadrature amplitude modulation (QAM). Thus, bits, not symbols, are interleaved by the inner interleaver (28). A receiver (12) performs a soft decision regarding the value of each bit in each complex QAM symbol received.



FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

TRANSMISSION OF DIGITAL SIGNALS BY ORTHOGONAL FREQUENCY DIVISION MULTIPLEXING

BACKGROUND OF THE INVENTION

5

I. Field of the Invention

The present invention relates generally to the transmission digital by orthogonal frequency division multiplexing (OFDM). More specifically, the invention relates to OFDM devices and systems that are used in the transmission of digital signals.

II. Description of the Related Art

Orthogonal frequency division multiplexing (OFDM) is a technique for broadcasting high rate digital signals, for example high definition television (HDTV) signals. In OFDM systems, a single high rate data stream is divided into several parallel low rate substreams, with each substream being used to modulate a respective subcarrier frequency.

The modulation technique used in OFDM systems is referred to as quadrature amplitude modulation (QAM), in which both the phase and the amplitude of the carrier frequency are modulated. In QAM modulation, complex QAM symbols are generated from plural data bits, with each symbol including a real number term and an imaginary number term and with each symbol representing the plural data bits from which it was generated. A plurality of QAM bits are transmitted together in a pattern that can be graphically represented by a complex plane. Typically, the pattern is referred to as a "constellation". By using QAM modulation, an OFDM system can improve its efficiency.

It happens that when a signal is broadcast, it can propagate to a receiver by more than one path. For example, a signal from a single transmitter can propagate along a straight line to a receiver, and it can also be reflected off of physical objects to propagate along a different path to the

receiver. Moreover, it happens that when a system uses a so-called "cellular" broadcasting technique to increase spectral efficiency, a signal intended for a receiver might be broadcast by more than one transmitter. Hence, the same signal will be transmitted to the receiver along more than one path. Such parallel propagation of signals, whether man-made (i.e., caused by broadcasting the same signal from more than one transmitter) or natural (i.e., caused by echoes) is referred to as "multipath". It can be readily appreciated that while cellular digital broadcasting is spectrally efficient, provisions must be made to effectively address multipath considerations.

10 Fortunately, OFDM systems that use QAM modulation are more effective in the presence of multipath conditions (which, as stated above, must arise when cellular broadcasting techniques are used) than are QAM modulation techniques in which only a single carrier frequency is used. More particularly, in single carrier QAM systems, a complex equalizer must be used to equalize channels that have echoes as strong as the primary path, and such equalization is difficult to execute. In contrast, in OFDM systems the need for complex equalizers can be eliminated altogether simply by inserting a guard interval of appropriate length at the beginning of each symbol. Accordingly, OFDM systems that use QAM modulation are preferred when multipath conditions are expected.

25 With particular regard to current OFDM systems to understand why the present invention is useful and needed, in current systems the data stream to be broadcast is encoded twice, first with a Reed-Solomon encoder and then with a trellis coding scheme. It should be noted that the present invention is equally applicable to systems in which there is only one coding. In a typical trellis coding scheme, the data stream is encoded with a convolutional encoder and then successive bits are combined in a bit group that will become a QAM symbol. Several bits are in a group, with the number of bits per group being defined by an integer "m" (hence, each group

is referred to as having an "m-ary" dimension). Typically, the value of "m" is four, five, six, or seven, although it can be more or less.

After grouping the bits into multi-bit symbols, the symbols are interleaved. By "interleaving" is meant that the symbol stream is rearranged in sequence, to thereby randomize potential errors caused by channel degradation. To illustrate, suppose five words are to be transmitted. If, during transmission of a non-interleaved signal, a temporary channel disturbance occurs. Under these circumstances, an entire word can be lost before the channel disturbance abates, and it can be difficult if not impossible to know what information had been conveyed by the lost word.

In contrast, if the letters of the five words are sequentially rearranged (i.e., "interleaved") prior to transmission and a channel disturbance occurs, several letters might be lost, perhaps one letter per word. Upon decoding the rearranged letters, however, all five words would appear, albeit with several of the words missing letters. It will be readily appreciated that under these circumstances, it would be relatively easy for a digital decoder to recover the data substantially in its entirety. After interleaving the m-ary symbols, the symbols are mapped to complex symbols using QAM principles noted above, multiplexed into their respective sub-carrier channels, and transmitted.

As recognized herein, however, current OFDM systems that use the above-mentioned trellis coding scheme, in which data bits are grouped into symbols prior to interleaving, exhibit performance shortcomings in the presence of multipath conditions in which some of the OFDM sub-carriers are severely attenuated. As further recognized herein, it is possible to improve the performance of OFDM systems in the presence of sub-carrier attenuation caused by multipath conditions. As still further recognized herein, the performance of such an OFDM system can be further improved

by undertaking soft decision-making at the receiver in determining received data values.

SUMMARY OF THE INVENTION

5 Accordingly, the present invention aims to provide a system for transmitting high rate digital data in the presence of multipath conditions. The present invention also aims to provide a system for transmitting high rate digital data using OFDM principles, which performs comparatively effectively in the presence of sub-carrier attenuation in multipath
10 conditions. The present invention further aims to provide a system for receiving high rate digital data which permits the use of soft decision making on a sub-channel by sub-channel basis to determine data values. The present invention also aims to provide a system for transmitting high rate digital data that is easy to use and cost-effective to manufacture and
15 implement.

 In one aspect the invention provides an orthogonal frequency division multiplex (OFDM) signal transmitter in which data bits are processed through an inner interleaver prior to grouping the bits into multi-bit symbols.

20 In another aspect the invention provides a device, for an orthogonal frequency division multiplexing (OFDM) transmitter, for processing digital data bits for transmission thereof to a receiver, the device comprising: an outer interleaver for processing the data bits; an encoder for encoding said processed bits; an inner interleaver for receiving the data bits from the
25 encoder and interleaving the data bits; and means for receiving the interleaved data bits from the inner interleaver and generating a symbol

representative of "m" successive bits from the inner interleaver, wherein "m" is an integer greater than one.

In an orthogonal frequency division multiplexing (OFDM) transmitter, a device embodying the invention is provided for processing
5 digital data bits for transmission thereof to a receiver. In the embodiment the device includes an outer interleaver, preferably a Reed-Solomon code symbol interleaver, for processing the data bits and an inner interleaver for receiving the processed output data bits from the outer interleaver and interleaving the data bits. Also, the device includes means for receiving the
10 interleaved data bits from the inner interleaver and generating a symbol representative of "m" successive bits from the inner interleaver, wherein "m" is an integer greater than one.

Preferably, a convolutional encoder processes bits between the inner and outer interleavers. Moreover, a means may be provided for mapping
15 each symbol to m-ary signal space. As intended by the preferred embodiment, the mapping means uses quadrature amplitude modulation (QAM) to thereby generate complex symbols. In the case wherein "m" is an odd integer at least equal to five (5), the mapping means minimizes the sum of the Hamming distances between neighboring symbols in a quadrant of
20 the signal space.

As disclosed in further detail below, a serial to parallel converter processes the complex symbols into "n" substreams, wherein "n" is an integer greater than one. A guard period generator establishes a guard period in the signal streams. The device is disclosed in combination with
25 the OFDM transmitter, and in further combination with an OFDM system.

In another aspect the invention provides a method for transmitting digital data bits using orthogonal frequency division multiplexing (OFDM), comprising the steps of: convolutionally encoding the bits; then interleaving the bits; then grouping "m" bits in parallel to
5 establish a respective symbol.

A method for transmitting digital data bits using orthogonal frequency division multiplexing (OFDM) includes convolutionally encoding the bits, then interleaving the bits. Next, the method includes grouping "m" bits in parallel to establish a respective symbol.

10 The invention also provides a device for a receiver for receiving "n" substreams of an orthogonal frequency division multiplexed (OFDM) signal containing complex phase-adjusted symbols, wherein each symbol represents "m" data bits, includes for each substream a soft decision quantizer for determining a binary value of each bit represented by each
15 symbol in the substream. A computer logic device may undertake this part of the receiver function.

The invention thus also provides a computer logic device for an orthogonal frequency division multiplex (OFDM) signal receiver for receiving complex symbols in the OFDM signal, each symbol representing
20 "m" data bits, the computer logic device comprising: a computer logic storage device readable by a digital processing system; and instructions embodied in the logic storage device, the instructions being executable by the digital processing system for performing method steps for undertaking a soft decision regarding a value of each symbol, the method steps comprising:
25 determining a first set of possible values for each symbol, each value in the

first set having a binary value of "0" in a predetermined bit; determining, for each symbol, a magnitude difference between the symbol and each possible value in the first set of possible values; and determining the smallest magnitude difference and generating a first signal representative thereof.

A device for transmitting orthogonal frequency division multiplexing (OFDM) signals includes quadrature amplitude modulation (QAM) means for generating a plurality of QAM symbols. Also, the device includes mapping means for mapping the symbols to m-ary space such that the sum of the Hamming distances between neighboring symbols in the space is minimized, wherein m is an odd integer at least equal to five (5).

BRIEF DESCRIPTION OF THE DRAWINGS

The features, objects, and advantages of the present invention will become more apparent from consideration of embodiments thereof described in the detailed description set forth below when taken in conjunction with the drawings in which like reference characters identify correspondingly throughout and wherein:

FIG. 1 is a schematic diagram of a digital signal transmission system embodying the present invention;

FIG. 2 is a schematic diagram showing the relevant portions of a transmitter embodying the present invention;

FIG. 3 is a schematic diagram showing the relevant portions of a receiver embodying the present invention; and

FIG. 4 is a flow chart showing the soft decision logic of the receiver.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

Referring initially to FIG. 1, a system is shown, generally designated
5 10, for broadcasting high rate digital data to a receiver 12 from one or more
essentially identical transmitters 14, 16 via multiple air interface paths 18, 20.
The high rate digital signals may be high definition television (HDTV)
signals, for example. The system 10 is an orthogonal frequency division
multiplexing (OFDM) system. Accordingly, the transmitters 14, 16 transmit
10 identical signals to the receiver 12, with each signal being multiplexed into a
plurality of "n" subchannels, wherein "n" is an integer greater than one (1).
In accordance with OFDM principles, each subchannel represents a
respective substream of a sequence of complex quadrature amplitude
modulated (QAM) symbols. In turn, each QAM symbol represents "m" data
15 bits, wherein "m" is an integer greater than one (1). In one presently
preferred embodiment, the value of "m" is six (6). In another preferred
embodiment, the value of "m" is seven (7). . It should be noted that
although the present embodiment is described in terms of quadrature
amplitude modulation, it is equally applicable to phase shift keyed
20 modulation systems.

FIG. 2 shows the relevant details of the transmitter 14 of the present
embodiment. An outer symbol error-correcting encoder such as a Reed-
Solomon encoder 22 receives a stream of digital data bits to be transmitted
and encodes the bits according to principles known in the art. Likewise, an
25 outer interleaver 24, preferably a Reed-Solomon symbol interleaver,
interleaves the data from the outer encoder 22 in accordance with principles
known in the art. Reed-Solomon coding systems are discussed in G.C.
Clark, Jr. and J.B. Cain, "Error-Correction Coding for Digital
Communications", Plenum Press, New York, 1981; S. Lin and D.J. Costello,

Jr., "Error Control Coding: Fundamentals and Applications", Prentice-Hall, Englewood Cliffs, N.J. 1983.

From the outer interleaver 24, the signal is sent to a convolutional encoder 26, which convolutionally encodes the data bits per well-known principles. The data bits are then sent to an inner interleaver 28, which interleaves the bits. Then, the interleaved bits are sent to a signal space grouper 30.

Per the present invention, the signal space grouper 30 groups in parallel a sequence of "m" bits from the inner interleaver 28. Thus, the signal space grouper establishes a respective symbol that is representative of each of "m" sequential bits received from the inner interleaver 28.

Accordingly, it can now be appreciated that the transmitter 14, unlike trellis-coded OFDM transmitters, processes the data bits through an inner interleaver prior to grouping the bits into multi-bit symbols. We have discovered that with this structure and the structure of the receiver 12 discussed below, the diversity and performance of the system 10 is improved in multipath conditions, vis-à-vis conventional trellis-coded transmitters which first group data bits into symbols, and then process the symbols through an inner interleaver.

As shown in Figure 2, the symbols from the signal space grouper 30 are sent to a signal space mapping element 32. In accordance with the present invention, the signal space mapping element 32 maps each symbol to m-ary signal space. Preferably, the mapping element uses quadrature amplitude modulation (QAM) to create a modulation in both amplitude and phase based on each symbol to thereby generate complex symbols.

These complex symbols are mapped to a complex plane, sometimes referred to as a QAM constellation. Accordingly, each complex symbol can be expressed in terms of its x-y location in the complex plane as " $x + jy$ ", wherein j is the square root of negative one ($j = \sqrt{-1}$).

For even values of "m", the mapping to the complex plane is undertaken using $m/2$ Gray coded binary digits for the x-coordinates and using the remaining $m/2$ binary digits (Gray coded) to represent the y-coordinate. In such mapping, adjacent bits in a quadrant of the complex plane advantageously differ from each other in value by only a single binary value. In other words, the so-called Hamming distance between adjacent bits in a quadrant is exactly one (1).

In contrast, for odd values of "m", because the QAM constellation is no longer rectangular, the QAM symbols can no longer be independently Gray coded in two-dimensions. Accordingly, for odd values of "m", the QAM symbols are mapped using what might be thought of as a quasi-Gray code, shown in Table 1 below, to advantageously minimize the sum of the Hamming distances between (the m bits assigned to) every distinct pair of neighboring elements in a quadrant (that is, same-quadrant elements that are physically represented in the table as being next to each other, with no intervening elements).

TABLE 1

		f	e	e	f	
	g	d	c	c	d	g
20	h	b	a	a	b	h
	h	b	a	a	b	h
	g	d	c	c	d	g
		f	e	e	f	

As those skilled in the art will readily recognize, the constellation shown in Table 1 can be thought of as including four quadrants, with the origin of the constellation being between the third row and fourth row and third column and fourth column. Per the present invention two of the "m" bits of represented by each QAM symbol code the quadrant of the symbol.

Thus, two of the bits of the QAM symbols in the first quadrant are 00, two bits of each symbol in the second quadrant are 01, two bits of each symbol in the third quadrant are 11, and two bits of each symbol in the fourth quadrant are 10.

5 Accordingly, in Table 1, the three remaining bits of each symbol are denoted by one of the eight letters a-h. The first quadrant symbol assignments are discussed below, but it is to be understood that as shown in Table 1, the same bit assignment is reflected in the other three quadrants. Any letter may arbitrarily be assigned the value "000"; for example, the letter
10 "a" can represent the binary value "000". To keep the Hamming distance to its neighbors in its quadrant equal to unity, the present invention assigns $b = 001$ and $c = 010$. This in turn leads to $d = 011$, $e = 110$, and $f = 111$.

Two possibilities for the remaining assignments exist, in minimizing the sum of the symbol-to-symbol Hamming distances in the quadrant. The
15 first is to assign $g = 100$ and $h = 101$, in which case the Hamming distance between all neighbors in the quadrant is 1, except for the Hamming distance between d and g , which is three. Or, $g = 101$ and $h = 100$, in which case the Hamming distance between neighbors in the quadrant is 1, except for the Hamming distance between d and g , which is two, and the Hamming
20 distance between b and h , which is two. Both cases, however, minimize the sum of the neighbor-to-neighbor Hamming distances in the quadrant.

Table 1 is a mapping for the case $m=5$. It is to be understood, however, that the principles set forth herein apply to greater odd values of "m". For example, for "m" > 5 and odd, each point in Table 1 above is
25 replaced by a square array of $2^{(m-5)}$ points, such that five of the bits of each symbol are used to identify particular square arrays and the remaining $m-5$ bits are used as a two-dimensional Gray code to enumerate the points in the square array.

After mapping, the stream of complex symbols is multiplexed into substreams by a serial to parallel converter 34. As the converter 34 multiplexes the symbols, it inserts pilot symbols into "n" substreams $d_0 \dots d_{n-1}$ (as represented by a pilot symbol inserter 33 in the receiver 14 as shown). As
 5 the skilled artisan will recognize, the pilot signals establish an amplitude and phase reference for a receiver, such as the receiver 12, to use to determine the scale and phase of received complex symbols.

After multiplexing, the substreams are transformed to the frequency domain by a fast Fourier transformer (FFT) 36. Then, a guard period
 10 generator 38 receives the output signal of the FFT 36 and establishes guard periods in the output signal. In the preferred embodiment, the guard periods are established by inserting into the signal a cyclic extension of the information-bearing symbol.

Now referring to FIG. 3, the relevant portions of the receiver 12 of the
 15 present invention can be seen. The received signal is sent to a guard period deleter 40, which deletes the guard periods inserted by the transmitter 14 by processing only the energy received during the useful signal period. From the deleter 40 the signal is sent to an inverse FFT 42 for transforming the signal back to the time domain.

20 As shown in Figure 3, the inverse FFT 42 outputs substreams of received complex data symbols $\bar{d}_0 \dots \bar{d}_{n-1}$. Each symbol is combined in a respective multiplier 44 with a respective phase rotation correction vector $e^{-j\hat{\theta}}$, wherein $\hat{\theta}$ is the estimated phase rotation of the symbol based on the pilot signal inserted at the transmitter 14.

25 Next, the value of the bits represented by each complex symbol in the respective substreams is determined by respective soft decision quantizers 46. Thus, the quantizers 46 decode the complex symbols back to the data bits that they respectively represent. The method by which the bit values of each symbol are determined are set forth below in reference to Figure 4. As

indicated in Figure 3, however, to facilitate rendering the soft decisions, the quantizers 46 receive respective estimates " ρ " of the amplitudes of the received symbols, based on the pilot signals.

From the quantizers 46, the substreams of data bits are sent to a parallel to serial converter 48, to combine the substreams into a single sequence of data bits. Then, the data bit sequence is sent to a de-interleaver 50 for reordering the bits into the order they were in before being interleaved by the inner interleaver 28 of the transmitter. Next, the de-interleaved bits are sent to a decoder 52 for decoding the bits in accordance with convolutional coding schemes well-known in the art. One possible embodiment of convolutional decoder 52 is the Viterbi decoder the design of which is well known in the art. The output of decoder 52 is provided to outer deinterleaver 51 which reorder convolutionally decoded symbols. The reordered symbols are then provided to Reed Solomon decoder 53 which decodes the reordered symbols as is well known in the art.

Figure 4 shows the logic of a soft decision quantizer 46 of the present invention in determining the values of the bits represented by a received complex symbol. As can be appreciated in reference to Figure 3, each quantizer 46 can be a microprocessor that preferably includes a data storage device 53, which includes instructions that are used by the quantizer 46 to undertake the steps of the present invention. Accordingly, those skilled in the art will recognize that the quantizer 46 can include a programmable central processing unit (CPU), or a programmable gate array chip, or an application specific integrated circuit (ASIC).

Figure 4 illustrates the structures of various embodiments of the logic of the present invention as embodied in computer-readable logic structures on the storage device 53 (Figure 3). Those skilled in the art will appreciate that Figure 4 illustrates the structures of logic elements that function according to this invention. Manifestly, the invention is practiced in one

essential embodiment by a machine component that renders the logic elements in a form that instructs a digital processing apparatus (that is, a computer or microprocessor) to perform a sequence of operational steps corresponding to those shown in Figure 4.

5 These instructions may reside in, i.e., be embodied by, logic structures/circuits on a data storage device including a data storage medium, such as the storage device 53 shown in Figure 3. The machine component can be a combination of logic elements that are embodied in the storage device 53, which advantageously can be electronic read-only memory (ROM)
10 or electronic random access memory (RAM), or other appropriate data storage device. Alternatively, the instructions can be embodied in the form of computer program code elements on semiconductor devices, on magnetic tape, on optical disks, on a DASD array, on magnetic tape, on a conventional hard disk drive, on electronic read-only memory or on electronic random
15 access memory, or other appropriate data storage device.

Commencing at block 54, the phase-adjusted signal $\bar{d}_i e^{-j\phi}$ (the value of i denoting the i^{th} symbol) for each received complex symbol is received from the multiplier 44 as disclosed above by the quantizer 46 of the present invention. Then, at block 56, a first set of possible values $\rho_i \alpha$ that the
20 received complex symbol can have is determined. The values for the α 's are known a priori, because each of these corresponds to a position in the predetermined constellation geometry. This first set includes 2^{m-1} elements $\rho_i \alpha$ each element having a binary "0" in a k^{th} bit, $k = 1$ to m . In other words, at block 56 a first set of possible values is determined for each symbol, with
25 each value in the first set having a binary value of "0" in a predetermined bit.

Likewise, at block 58, a second set of possible values $\rho_i \alpha$ that the received complex symbol can have is determined. This second set includes

2^{m-1} elements $p_i\alpha$ each element having a binary "1" in the k^{th} bit, $k = 1$ to m . In other words, at block 58 a second set of possible values is determined for each symbol, with each value in the second set having a binary value of "1" in a predetermined bit. Thus, in the 32 value constellation shown above in the table, sixteen possible values are output at block 56 and another sixteen are output at block 58.

Next, at block 60, the absolute values of the differences between the phase-adjusted signal $\bar{d}_i e^{-j\phi(i)}$ and each expected signal $p_i\alpha$ in the first set is determined, and the smallest absolute value selected as a first signal. Also at block 60, the absolute values of the differences between the phase-adjusted signal $\bar{d}_i e^{-j\phi(i)}$ and each expected signal $p_i\alpha$ in the second set is determined, and the smallest absolute value selected as a second signal. The output of block 60 can be expressed as:

$$\min | \bar{d}_i e^{-j\phi(i)} - p_i\alpha \text{ (0 in } k^{\text{th}} \text{ bit)} |^2 - | \bar{d}_i e^{-j\phi(i)} - p_i\alpha \text{ (1 in } k^{\text{th}} \text{ bit)} |^2 \quad (1)$$

While the particular bit interleaver for orthogonal frequency division multiplexing in the transmission of digital signals as herein shown and described in detail is fully capable of attaining the above-described objects of the invention, it is to be understood that it is the presently preferred embodiment of the present invention and is thus representative of the subject matter which is broadly contemplated by the present invention, that the scope of the present invention fully encompasses other embodiments which may become obvious to those skilled in the art, and that the scope of the present invention is accordingly to be limited by nothing other than the appended claims.

WE CLAIM:

CLAIMS

1. A device, for an orthogonal frequency division multiplexing (OFDM) transmitter, for processing digital data bits for transmission thereof to a receiver, the device comprising:

an outer interleaver for processing the data bits;
an encoder for encoding said processed bits.

an inner interleaver for receiving the data bits from the encoder and interleaving the data bits; and

means for receiving the interleaved data bits from the inner interleaver and generating a symbol representative of "m" successive bits from the inner interleaver, wherein "m" is an integer greater than one.

2. The device of Claim 1, wherein the outer interleaver is a Reed-Solomon interleaver.

3. The device of Claim 1 or 2, further comprising a transmitter.

4. The device of Claim 1, 2 or 3, further comprising means for mapping each symbol to m-ary signal space.

5. The device of Claim 4, wherein the mapping means uses quadrature amplitude modulation (QAM) to thereby generate complex symbols.

6. The device of Claim 5, further comprising a serial to parallel converter for processing the complex symbols into "n" substreams, wherein "n" is an integer greater than one.

7. The device of Claim 4, 5 or 6, wherein "m" is an odd integer at least equal to five (5), and the mapping means minimizes the sum of the Hamming distances between neighboring symbols in a quadrant of the signal space.

8. The device of Claim 6 or 7, further comprising a guard period
2 generator for establishing a guard period in the signal streams.

9. The device of Claim 8, in combination with an OFDM
2 transmitter.

10. The device of Claim 9, in further combination with an OFDM
2 system.

11. A method for transmitting digital data bits using orthogonal
2 frequency division multiplexing (OFDM), comprising the steps of:

convolutionally encoding the bits; then
4 interleaving the bits; then
grouping "m" bits in parallel to establish a respective symbol.

12. The method of Claim 11, further comprising the step of
2 mapping the symbol to m-ary space using quadrature amplitude
modulation to thereby generate a complex symbol.

13. The method of Claim 12, wherein "m" equals seven (7).

14. The method of Claim 12 or 13, further comprising the step of:
2 encoding the data bits and interleaving the data bits using an outer
encoder, prior to the convolutionally encoding step.

15. The method of Claim 14, further comprising the steps of:
2 separating the complex symbols into "n" substreams;
performing a fast Fourier transform on the substreams to generate a
4 transformed output; and
generating a plurality of guard periods in the transformed output.

16. A device for a receiver for receiving "n" substreams of an
2 orthogonal frequency division multiplexed (OFDM) signal containing
complex phase-adjusted symbols, each symbol representing "m" data bits,
4 the device, comprising:

for each substream, a soft decision quantizer for determining a binary
6 value of each bit represented by each symbol in the substream.

17. The device of Claim 16, wherein the soft decision quantizer
2 comprises:

means for determining a first set of possible values for each symbol,
4 each value in the first set having a binary value of "0" in a predetermined
bit;

6 means for determining, for each symbol, a magnitude difference
between the symbol and each possible value in the first set of possible
8 values; and

means for determining the smallest magnitude difference an
10 generating a first signal representative thereof.

18. The device of Claim 17, wherein the soft decision quantizer
2 further comprises:

means for determining a second set of possible values for each
4 symbol, each value in the second set having a binary value of "1" in a
predetermined bit;

6 means for determining, for each symbol, a magnitude difference
between the symbol and each possible value in the second set of possible
8 values; and

means for determining the smallest magnitude difference an
10 generating a second signal representative thereof.

19. The device of Claim 18, wherein the soft decision quantizer
2 further comprises means for returning a binary "1" when the first signal is
greater than the second signal, and otherwise returning a binary "0".

20. The device of Claim 19, wherein the soft decision quantizer
2 further comprises means for returning a confidence value proportional to
the magnitude of the difference between the first and second signals.

21. The device of Claim 19 or 20, in combination with a receiver
2 comprising a guard period deleter for deleting guard periods in the OFDM
signal, prior to inputting the OFDM signal to the soft decision quantizer.

22. A computer logic device for an orthogonal frequency division
2 multiplex (OFDM) signal receiver for receiving complex symbols in the
OFDM signal, each symbol representing "m" data bits, the computer logic
4 device comprising:

a computer logic storage device readable by a digital processing
6 system; and

instructions embodied in the logic storage device, the instructions
8 being executable by the digital processing system for performing method
steps for undertaking a soft decision regarding a value of each symbol, the
10 method steps comprising:

determining a first set of possible values for each symbol, each value
12 in the first set having a binary value of "0" in a predetermined bit;

determining, for each symbol, a magnitude difference between the
14 symbol and each possible value in the first set of possible values; and

determining the smallest magnitude difference and generating a first
16 signal representative thereof.

23. An orthogonal frequency division multiplex (OFDM) signal
2 transmitter in which data bits are processed through an inner interleaver
prior to grouping the bits into multi-bit symbols.

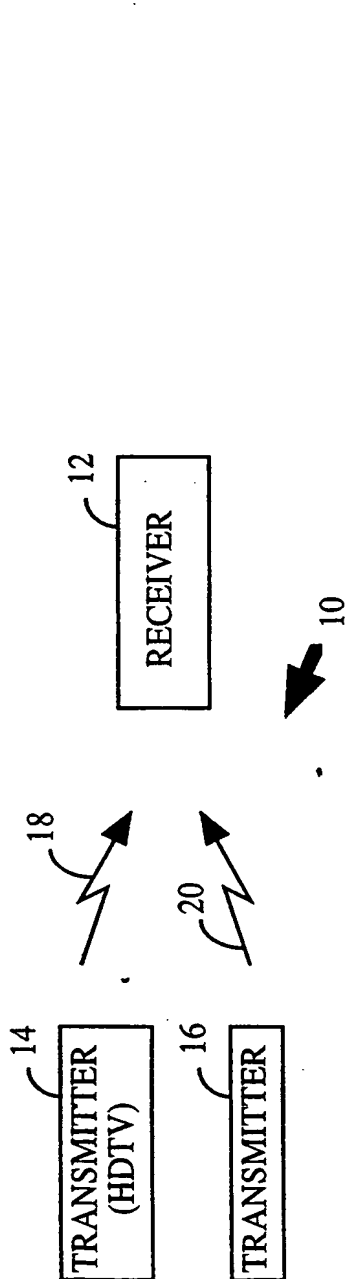


FIG. 1

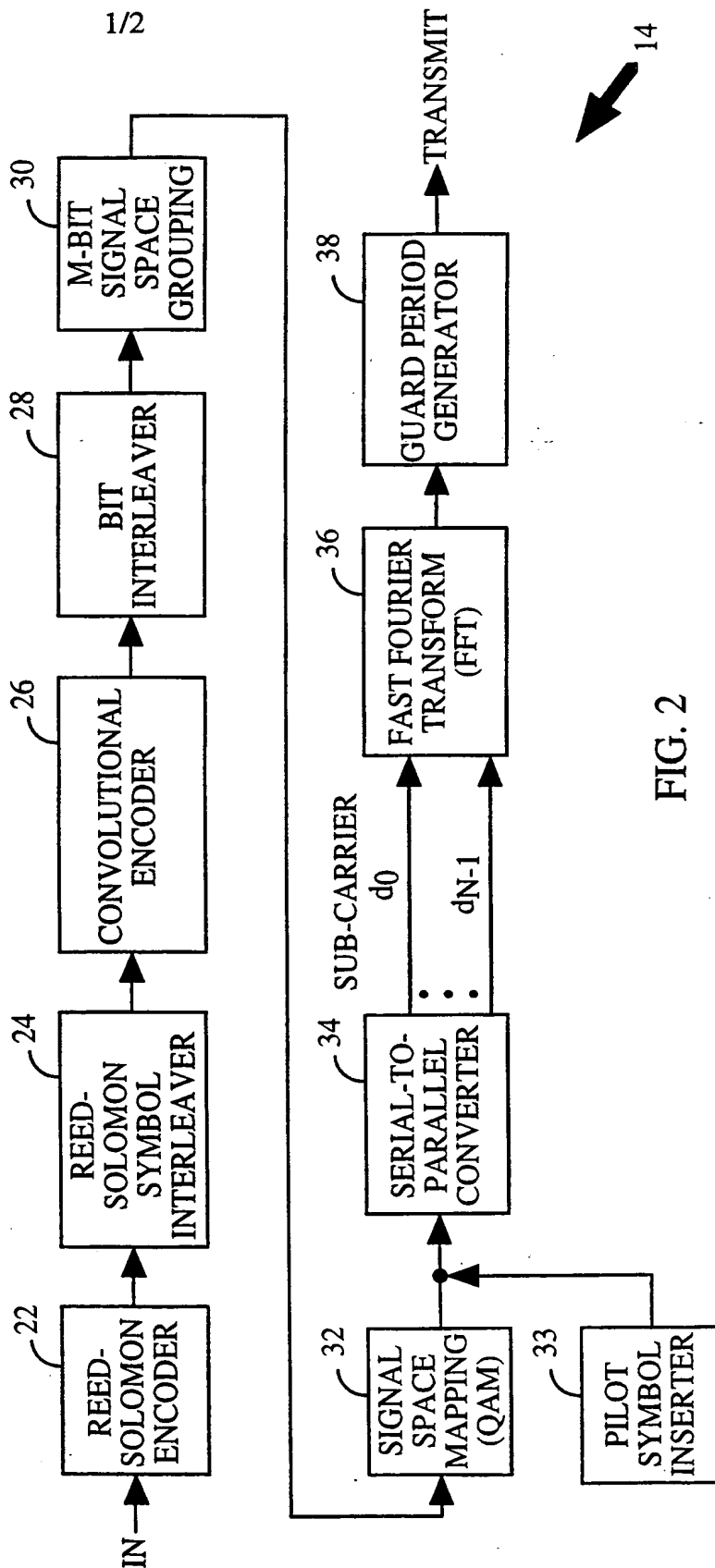


FIG. 2

2/2

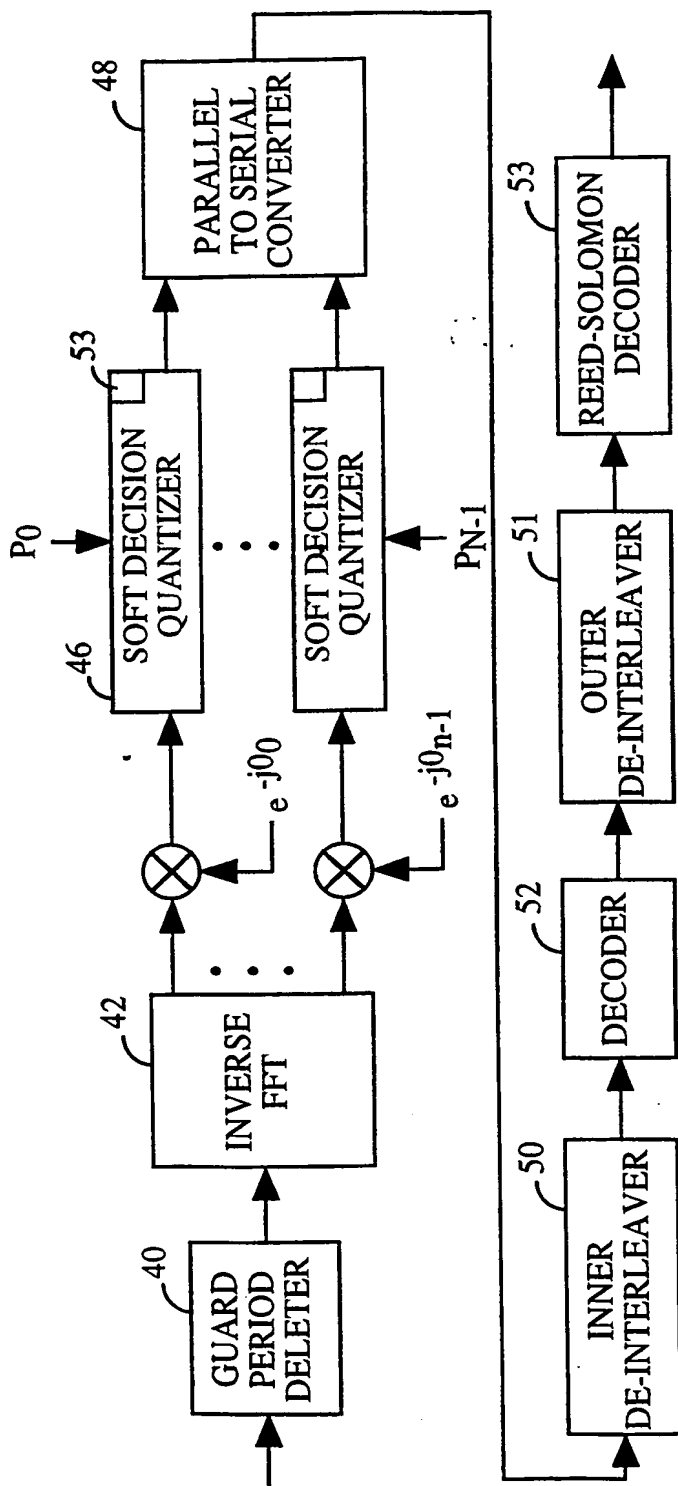


FIG. 3

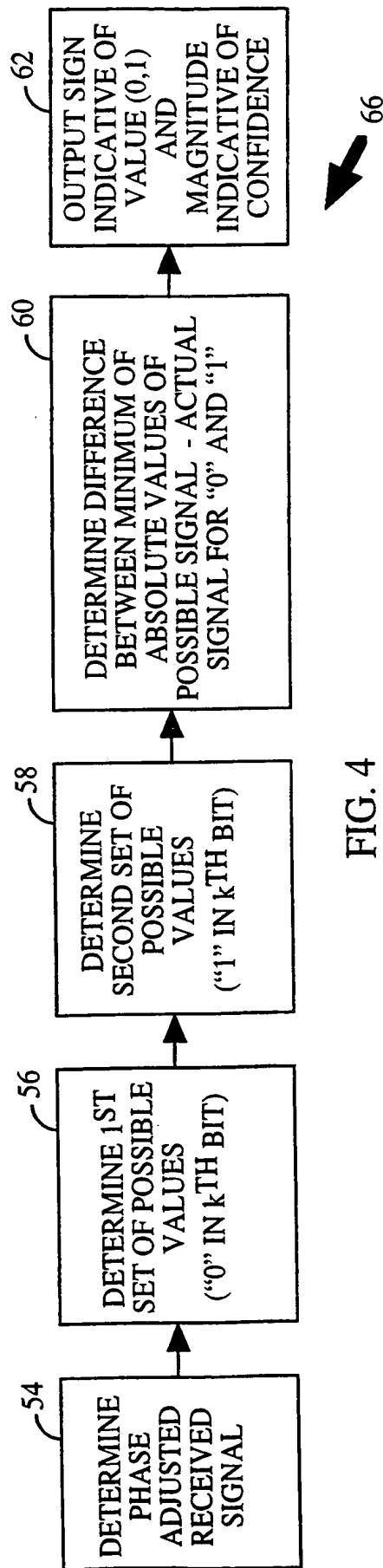


FIG. 4

THIS PAGE BLANK (USPTO)

PCT

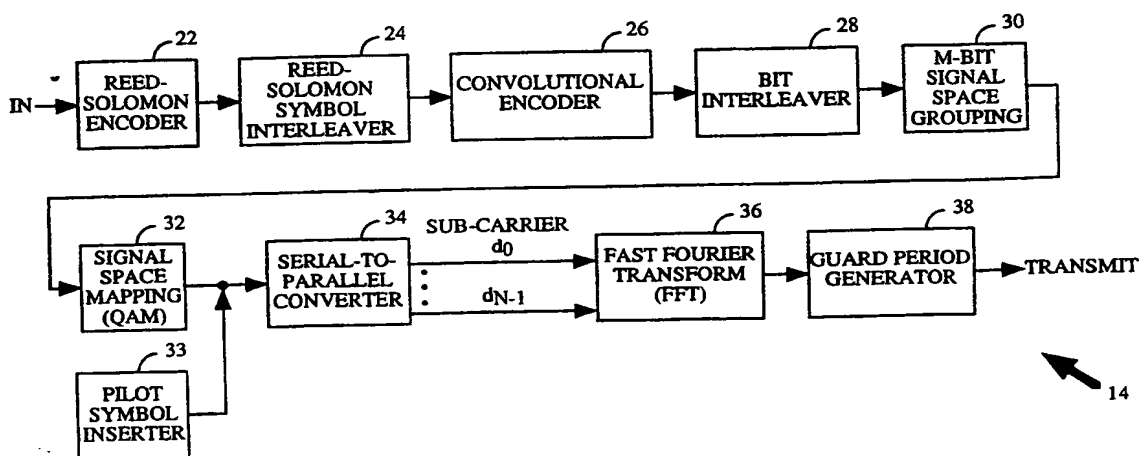
WORLD INTELLECTUAL PROPERTY ORGANIZATION
International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁶ : H04L 27/26, 1/00, 27/38		A3	(11) International Publication Number: WO 98/58496 (43) International Publication Date: 23 December 1998 (23.12.98)
(21) International Application Number: PCT/US98/12481 (22) International Filing Date: 16 June 1998 (16.06.98) (30) Priority Data: 08/879,297 19 June 1997 (19.06.97) US (71) Applicant: QUALCOMM INCORPORATED [US/US]; 6455 Lusk Boulevard, San Diego, CA 92121 (US). (72) Inventors: VIJAYAN, Rajiv; Apartment 6203, 3550 Lebon Drive, San Diego, CA 92122 (US). ODENWALDER, Joseph, P.; 14967 Rancho Real, Del Mar, CA 92014 (US). WOLF, Jack, K.; 8529 Prestwick Drive, La Jolla, CA 92037 (US). ZEHAVID, Ephraim; Moshe Sneh Street 3, 34987 Haifa (IL). LEE, Chong, U.; 5238 Caminito Playa Malaga, San Diego, CA 92124 (US). (74) Agents: OGROD, Gregory, D. et al.; Qualcomm Incorporated, 6455 Lusk Boulevard, San Diego, CA 92121 (US).			(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, GM, GW, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG). Published With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments. (88) Date of publication of the international search report: 20 May 1999 (20.05.99)

(54) Title: TRANSMISSION OF DIGITAL SIGNALS BY ORTHOGONAL FREQUENCY DIVISION MULTIPLEXING



(57) Abstract

In an orthogonal frequency division multiplexing (OFDM) system (10) which uses an outer Reed-Solomon encoder and interleaver (24) and an inner convolutional encoder (26), after the inner convolutional encoding the data bits are interleaved by an inner interleaver (28), and then grouped into symbols, each symbol having "m" bits. After grouping, the symbols are mapped to a complex plane using quadrature amplitude modulation (QAM). Thus, bits, not symbols, are interleaved by the inner interleaver (28). A receiver (12) performs a soft decision regarding the value of each bit in each complex QAM symbol received.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

INTERNATIONAL SEARCH REPORT

International Application No
PCT/US 98/12481

A. CLASSIFICATION OF SUBJECT MATTER

IPC 6 H04L27/26 H04L1/00 H04L27/38

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 H04L

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 96 16496 A (AT & T WIRELESS SERVICES INC) 30 May 1996	1-5,7,8, 11-14,23
Y	see the whole document	6,9,10, 15
X	US 5 416 801 A (BRAJAL AMERICO ET AL) 16 May 1995 see column 3, line 43 - column 4, line 21 see column 7, line 49 - line 64 see column 9, line 31 - column 10, line 44 see column 13, line 62 - column 14, line 8 see figures 1,5,8	1-5, 11-14,23
Y	EP 0 682 426 A (VICTOR COMPANY OF JAPAN) 15 November 1995 see column 6, line 32 - column 7, line 24 see column 8, line 27 - line 43 see figure 3	6,9,10, 15

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

* Special categories of cited documents :

- *A* document defining the general state of the art which is not considered to be of particular relevance
- *E* earlier document but published on or after the international filing date
- *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- *O* document referring to an oral disclosure, use, exhibition or other means
- *P* document published prior to the international filing date but later than the priority date claimed

- *T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- *X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- *Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- *Z* document member of the same patent family

Date of the actual completion of the international search

27 January 1999

Date of mailing of the international search report

08. 04. 1999

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,
Fax: (+31-70) 340-3016

Authorized officer

De Riccardis, F

INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 98/12481

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
E	<p>WO 98 32256 A (ERICSSON TELEFON AB L M) 23 July 1998 see page 10, line 11 - line 24 see page 15, line 25 - line 27 see page 16, line 3 - line 5 see figure 1</p> <p style="text-align: center;">-----</p>	<p>1-4, 11, 23</p>

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US 98/ 12481

Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:
because they relate to subject matter not required to be searched by this Authority, namely:
2. ☐ Claims Nos.:
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. ☐ Claims Nos.
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

see additional sheet

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☒ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

1-10, 11-15, 23

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

1. Claims: 1-10, 11-15, 23

method for transmitting data bits using OFDM technique and apparatus therefore

2. Claims: 16-21, 22

method for receiving data bits using OFDM technique and apparatus therefore

INTERNATIONAL SEARCH REPORT

In relation on patent family members

International Application No

PCT/US 98/12481

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 9616496 A	30-05-96	US 5659578 A	19-08-97
		AU 4369996 A	17-06-96
		BR 9509749 A	23-12-97
		CA 2203903 A	30-05-96
		US 5838728 A	17-11-98

US 5416801 A	16-05-95	DE 69322322 D	14-01-99
		EP 0578313 A	12-01-94
		JP 6164534 A	10-06-94

EP 0682426 A	15-11-95	JP 2790239 B	27-08-98
		JP 7327023 A	12-12-95
		JP 8032546 A	02-02-96
		US 5732068 A	24-03-98
		US 5657313 A	12-08-97

WO 9832256 A	23-07-98	AU 5785698 A	07-08-98

THIS PAGE BLANK (USPTO)